

# Introduction to QCD and Jet III

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PENNSTATE



## 1 Dihadron Correlations

- Breaking down of the  $k_t$  factorization in di-jet production
- Probing two fundamental gluon distributions
- Gluon+Jet in  $pA$

## 2 NLO Forward Hadron Production in $pA$ Collisions

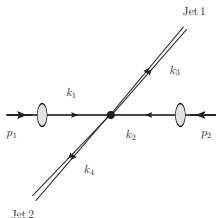
- LO Forward Hadron production in  $pA$  collisions
- NLO Forward Hadron Production in  $pA$  Collisions

## 3 Conclusion

## $K_T$ Factorization "expectation"

Consider the inclusive production of two **high-transverse-momentum back-to-back** particles in hadron-hadron collisions, i.e., in the process:

$$H_1 + H_2 \rightarrow H_3 + H_4 + X.$$



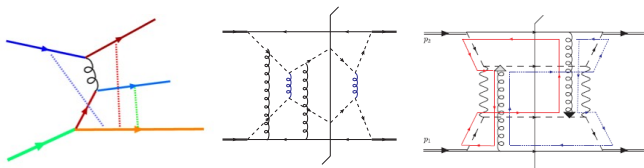
The standard  $k_T$  factorization "expectation" is:

$$E_3 E_4 \frac{d\sigma}{d^3 p_3 d^3 p_4} = \sum \int d\hat{\sigma}_{i+j \rightarrow k+l+X} f_{i/1} f_{j/2} d_{3/k} d_{4/l} + \dots$$

- Convolution of  $d\hat{\sigma}$  with  $f(x, k_\perp)$  and  $d(z)$ .
- **Factorization**  $\Leftrightarrow$  Factorization formula + **Universality**
- Only Drell-Yan process is proved for factorization in hadron-hadron collisions. [Bodwin; 85, 86], [Collins, Soper, Sterman; 85, 88],

## Breaking down of the $k_T$ factorization in di-hadron production

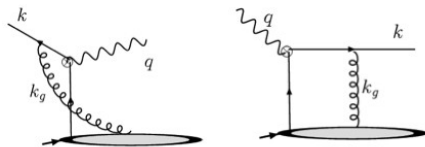
- [Bacchetta, Bomhof, Mulders and Pijlman; 04-06] **Wilson lines approach**  
Studies of Wilson-line operators show that the TMD parton distributions are not generally process-independent due to the complicated combination of initial and final state interactions. TMD PDFs admit **process dependent Wilson lines**.
- [Collins, Qiu; 07], [Collins; 07], [Vogelsang, Yuan; 07] and [Rogers, Mulders; 10]  
**Scalar QED models and its generalization to QCD (Counterexample to Factorization)**



- $\mathcal{O}(g^2)$  calculation shows **non-vanishing anomalous terms** with respect to **standard factorization**.
- Remarks:  $k_T$  factorization is violated in di-jet production; TMD parton distributions are **non-universal**.
- Things get worse: For  $pp$  and  $AA$  collisions, no factorization formula at all for dijet production.

## Why is the di-jet production process special?

Initial state interactions and/or final state interactions



- In Drell-Yan process, there are only **initial** state interactions.

$$\int_{-\infty}^{+\infty} dk_g^+ \frac{i}{-k_g^+ - i\epsilon} A^+(k_g) = \int_0^{-\infty} d\zeta^- A^+(\zeta^-)$$

Eikonal approximation  $\Rightarrow$  gauge links.

- In DIS, there are only **final** state interactions.

$$\int_{-\infty}^{+\infty} dk_g^+ \frac{i}{-k_g^+ + i\epsilon} A^+(k_g) = \int_0^{+\infty} d\zeta^- A^+(\zeta^-)$$

Eikonal approximation  $\Rightarrow$  gauge links.

- However, there are both initial state interactions and final state interactions in the di-jet process.

## McLerran-Venugopalan Model

In QCD, the **McLerran-Venugopalan Model** describes high density gluon distribution in a relativistic large nucleus ( $A \gg 1$ ) by solving the classical Yang-Mills equation:

$$[D_\mu, F^{\mu\nu}] = gJ^\nu \quad \text{with} \quad J^\nu = \delta^{\nu+} \rho_a(x^-, x_\perp) T^a, \quad \text{COV gauge} \Rightarrow -\nabla_\perp^2 A^+ = g\rho.$$

To solve the above equation, we define the Green's function

$$\nabla_{z_\perp}^2 G(x_\perp - z_\perp) = \delta^{(2)}(x_\perp - z_\perp) \quad \Rightarrow \quad G(x_\perp - z_\perp) = - \int \frac{d^2 k_\perp}{(2\pi)^2} \frac{e^{ik_\perp \cdot (x_\perp - z_\perp)}}{k_\perp^2}$$

MV model assumes that the density of color charges follows a **Gaussian** distribution

$$W[\rho] = \exp \left[ - \int dz^- d^2 z_\perp \frac{\rho_a(z^-, z_\perp) \rho_a(z^-, z_\perp)}{2\mu^2(z^-)} \right].$$

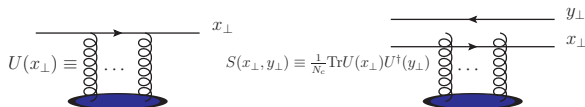
With such a weight, average of two color sources is

$$\langle \rho_a \rho_b \rangle = \int \mathcal{D}[\rho] W[\rho] \rho_a(x^-, x_\perp) \rho_b(y^-, y_\perp) = \mu^2(x^-) \delta_{ab} \delta(x^- - y^-) \delta(x_\perp - y_\perp).$$

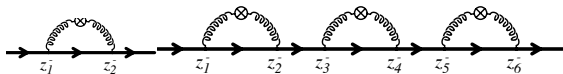
# Dipole amplitude in MV model

The Wilson line [F. Gelis, A. Peshier, 01]

$$U(x_{\perp}) = \mathcal{P} \exp \left[ -ig^2 \int dz^{-} d^2z_{\perp} G(x_{\perp} - z_{\perp}) \rho(z^{-}, z_{\perp}) \right]$$



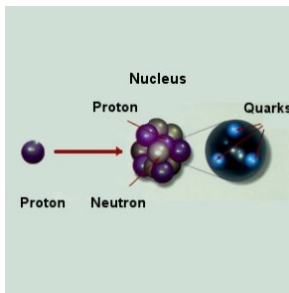
Use gaussian approximation to pair color charges:



A diagram showing two horizontal lines with arrows pointing left. A vertical gluon loop connects the two lines. This is labeled  $\Rightarrow S(x_{\perp}, y_{\perp}) \simeq \exp \left\{ -\frac{\mu_s^2}{4} \int d^2z_{\perp} [G(x_{\perp} - z_{\perp}) - G(y_{\perp} - z_{\perp})]^2 \right\}$ . Below this, the same diagram is labeled  $\simeq \exp \left[ -\frac{1}{4} Q_s^2 (x_{\perp} - y_{\perp})^2 \right] \Leftarrow$  GBW model.

- Quadrupoles  $\frac{1}{N_c} \text{Tr} U_1 U_2^{\dagger} U_3 U_4^{\dagger}$  and Sextupoles  $\frac{1}{N_c} \text{Tr} U_1 U_2^{\dagger} U_3 U_4^{\dagger} U_5 U_6^{\dagger} \dots$

## Forward observables at pA collisions



### Why pA collisions?

- For pA (dilute-dense system) collisions, there is an effective  $k_t$  factorization.

$$\frac{d\sigma^{pA \rightarrow qfX}}{d^2P_{\perp} d^2q_{\perp} dy_1 dy_2} = x_p q(x_p, \mu^2) x_A f(x_A, q_{\perp}^2) \frac{1}{\pi} \frac{d\hat{\sigma}}{d\hat{t}}$$

- For dijet processes in pp, AA collisions, there is no  $k_t$  factorization [Collins, Qiu, 08], [Rogers, Mulders; 10].

### Why forward?

- At forward rapidity  $y$ ,  $x_p \propto e^y$  is large, while  $x_A \propto e^{-y}$  is small.
- Ideal place to find gluon saturation in the target nucleus.

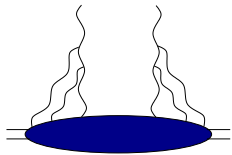


## A Tale of Two Gluon Distributions

In small- $x$  physics, two gluon distributions are widely used: [Kharzeev, Kovchegov, Tuchin; 03]

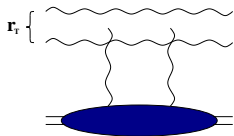
I. **Weizsäcker Williams** gluon distribution ([KM, 98'] and **MV model**):

$$xG^{(1)} = \frac{S_{\perp} N_c^2 - 1}{\pi^2 \alpha_s N_c} \times \int \frac{d^2 r_{\perp}}{(2\pi)^2} \frac{e^{-ik_{\perp} \cdot r_{\perp}}}{r_{\perp}^2} \left( 1 - e^{-\frac{r_{\perp}^2 Q_{sg}^2}{2}} \right) \Leftrightarrow$$



II. **Color Dipole** gluon distributions:

$$xG^{(2)} = \frac{S_{\perp} N_c}{2\pi^2 \alpha_s} k_{\perp}^2 \times \int \frac{d^2 r_{\perp}}{(2\pi)^2} e^{-ik_{\perp} \cdot r_{\perp}} e^{-\frac{r_{\perp}^2 Q_{sq}^2}{4}} \Leftrightarrow$$



Remarks:

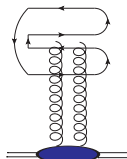
- The WW gluon distribution simply counts the number of gluons.
- The Color Dipole gluon distribution often appears in calculations.
- Does this mean that gluon distributions are non-universal? Answer: **Yes** and **No!**

# A Tale of Two Gluon Distributions

[F. Dominguez, C. Marquet, BX and F. Yuan, 11]

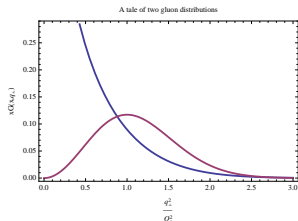
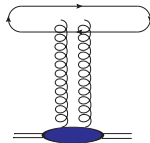
## I. Weizsäcker Williams gluon distribution

$$xG^{(1)} = \frac{S_{\perp} N_c^2 - 1}{\pi^2 \alpha_s N_c} \Leftrightarrow \int \frac{d^2 r_{\perp}}{(2\pi)^2} \frac{e^{-ik_{\perp} \cdot r_{\perp}}}{r_{\perp}^2} \left( 1 - e^{-\frac{r_{\perp}^2 Q_s^2}{2}} \right)$$



## II. Color Dipole gluon distributions:

$$xG^{(2)} = \frac{S_{\perp} N_c}{2\pi^2 \alpha_s} k_{\perp}^2 \Leftrightarrow \int \frac{d^2 r_{\perp}}{(2\pi)^2} e^{-ik_{\perp} \cdot r_{\perp}} e^{-\frac{r_{\perp}^2 Q_s^2}{4}}$$



## A Tale of Two Gluon Distributions

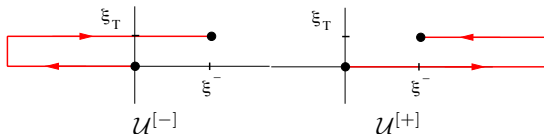
In terms of operators (known from TMD factorization), we find these two gluon distributions can be defined as follows: [F. Dominguez, C. Marquet, BX and F. Yuan, 11]

I. **Weizsäcker Williams** gluon distribution:

$$xG^{(1)} = 2 \int \frac{d\xi^- d\xi_\perp}{(2\pi)^3 P^+} e^{ixP^+ \xi^- - ik_\perp \cdot \xi_\perp} \text{Tr} \langle P | F^{+i}(\xi^-, \xi_\perp) \mathcal{U}^{[+] \dagger} F^{+i}(0) \mathcal{U}^{[+]} | P \rangle.$$

II. **Color Dipole** gluon distributions:

$$xG^{(2)} = 2 \int \frac{d\xi^- d\xi_\perp}{(2\pi)^3 P^+} e^{ixP^+ \xi^- - ik_\perp \cdot \xi_\perp} \text{Tr} \langle P | F^{+i}(\xi^-, \xi_\perp) \mathcal{U}^{[-] \dagger} F^{+i}(0) \mathcal{U}^{[+]} | P \rangle.$$



Remarks:

- The WW gluon distribution is the **conventional gluon distributions**. In light-cone gauge, it is the **gluon density**. (**Only final state interactions**.)
- The dipole gluon distribution has no such interpretation. (**Initial and final state interactions**.)
- Both definitions are gauge invariant.
- Same after integrating over  $q_\perp$ .

## A Tale of Two Gluon Distributions

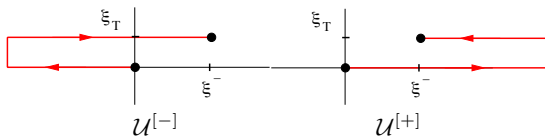
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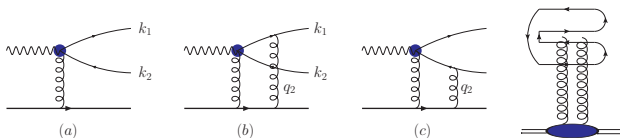


Questions:

- Can we distinguish these two gluon distributions? **Yes, We Can.**
- How to measure  $xG^{(1)}$  directly? **DIS dijet.**
- How to measure  $xG^{(2)}$  directly? **Direct  $\gamma$ +Jet in  $pA$  collisions.**  
For single-inclusive particle production in  $pA$  up to all order.
- What happens in gluon+jet production in  $pA$  collisions? **It's complicated!**

## DIS dijet

[F. Dominguez, C. Marquet, BX and F. Yuan, 11]

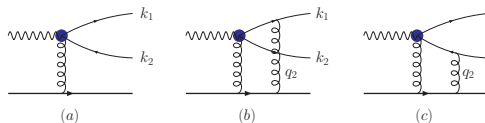


$$\frac{d\sigma^{\gamma_T^* A \rightarrow q\bar{q}+X}}{d\mathcal{P} \cdot \mathcal{S}} \propto N_c \alpha_{em} e_q^2 \int \frac{d^2x}{(2\pi)^2} \frac{d^2x'}{(2\pi)^2} \frac{d^2b}{(2\pi)^2} \frac{d^2b'}{(2\pi)^2} e^{-ik_{1\perp} \cdot (x-x')} \\ \times e^{-ik_{2\perp} \cdot (b-b')} \sum \psi_T^*(x-b) \psi_T(x'-b') \\ \underbrace{\left[ 1 + S_{x_g}^{(4)}(x, b; b', x') - S_{x_g}^{(2)}(x, b) - S_{x_g}^{(2)}(b', x') \right]}_{-u_i u_j' \frac{1}{N_c} \langle \text{Tr}[\partial^i U(v)] U^\dagger(v') [\partial^j U(v')] U^\dagger(v) \rangle_{x_g} \Rightarrow \text{Operator Def}},$$

- Eikonal approximation  $\Rightarrow$  Wilson Line approach [Kovner, Wiedemann, 01].
- In the dijet correlation limit, where  $u = x - b \ll v = zx + (1-z)b$
- $S_{x_g}^{(4)}(x, b; b', x') = \frac{1}{N_c} \langle \text{Tr} U(x) U^\dagger(x') U(b') U^\dagger(b) \rangle_{x_g} \neq S_{x_g}^{(2)}(x, b) S_{x_g}^{(2)}(b', x')$
- Quadrupoles are generically **different** objects and **only appear in dijet processes**.

# DIS dijet

The dijet production in DIS.



TMD factorization approach:

$$\frac{d\sigma^{\gamma_T^* A \rightarrow q\bar{q}+X}}{d\mathcal{P}.S.} = \delta(x_{\gamma^*} - 1) x_g G^{(1)}(x_g, q_\perp) H_{\gamma_T^* g \rightarrow q\bar{q}},$$

Remarks:

- Dijet in DIS is the **only physical** process which can measure **Weizsäcker Williams** gluon distributions.
- **Golden measurement** for the **Weizsäcker Williams** gluon distributions of nuclei at small-x. The cross section is directly related to the WW gluon distribution.
- **EIC** and **LHeC** will provide us a **perfect machine** to study the strong gluon fields in nuclei. Important part in EIC and LHeC physics.

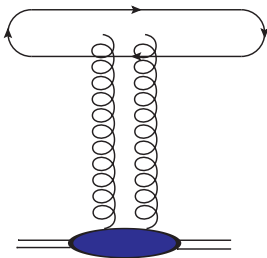
## $\gamma$ +Jet in $pA$ collisions

The direct photon + jet production in  $pA$  collisions. (Drell-Yan follows the same factorization.)  
 TMD factorization approach:

$$\frac{d\sigma^{(pA \rightarrow \gamma q + X)}}{d\mathcal{P} \cdot \mathcal{S}} = \sum_f x_1 q(x_1, \mu^2) x_g G^{(2)}(x_g, q_\perp) H_{qg \rightarrow \gamma q}.$$

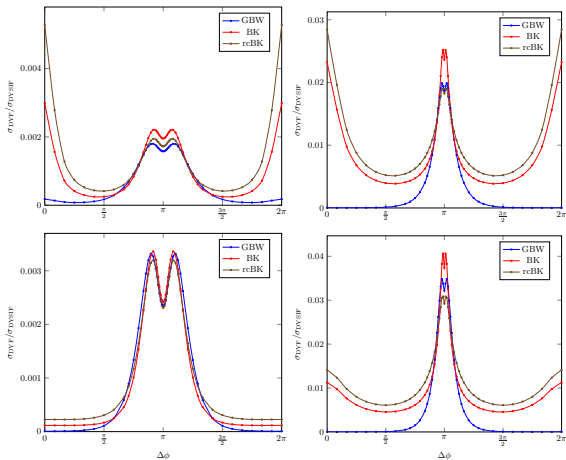
Remarks:

- Independent CGC calculation gives the identical result in the correlation limit.
- Direct measurement of the **Color Dipole** gluon distribution.



DY correlations in  $pA$  collisions

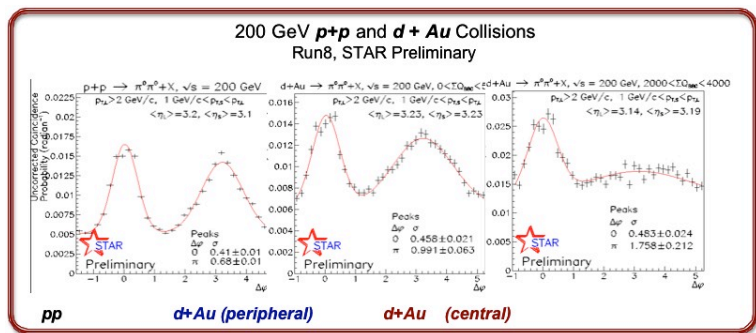
[Stasto, BX, Zaslavsky, 12]

 $M = 0.5, 4\text{GeV}, Y = 2.5$  at RHIC dAu. $M = 4, 8\text{GeV}, Y = 4$  at LHC pPb.

- Partonic cross section vanishes at  $\pi \Rightarrow$  Dip at  $\pi$ .
- Prompt photon calculation [J. Jalilian-Marian, A. Rezaeian, 12]



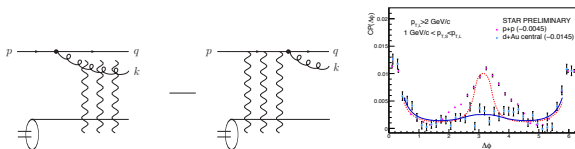
# STAR measurement on di-hadron correlation in $dA$ collisions



- There is no sign of suppression in the  $p + p$  and  $d + Au$  peripheral data.
- The suppression and broadening of the away side jet in  $d + Au$  central collisions is due to the multiple interactions between partons and dense nuclear matter (CGC).
- Probably the best evidence for saturation.

# First calculations on dijet production

Quark+Gluon channel [Marquet, 07] and [Albacete, Marquet, 10]



- Prediction of saturation physics.
- All the framework is correct, but over-simplified 4-point function.
- Improvement [F. Dominguez, C. Marquet, BX and F. Yuan, 11.]

$$S_{x_g}^{(4)}(x_1, x_2; x'_2, x'_1) \simeq e^{-\frac{C_F}{2} [\Gamma(x_1 - x_2) + \Gamma(x'_2 - x'_1)]}$$

$$- \frac{F(x_1, x_2; x'_2, x'_1)}{F(x_1, x'_2; x_2, x'_1)} \left( e^{-\frac{C_F}{2} [\Gamma(x_1 - x_2) + \Gamma(x'_2 - x'_1)]} - e^{-\frac{C_F}{2} [\Gamma(x_1 - x'_1) + \Gamma(x'_2 - x_2)]} \right)$$

# Dijet processes in the large $N_c$ limit

The Fierz identity:

$$\text{Gluon loop} = \frac{1}{2} (\text{Two gluon lines} - \text{Two ghost lines}) \quad \text{and} \quad \text{Ghost loop} = \frac{1}{2} (\text{Two gluon lines} - \text{Two ghost lines})$$

Graphical representation of dijet processes

$g \rightarrow q\bar{q}$ :

$q \rightarrow qg$ :

$g \rightarrow gg$ :

The **Octupole** and the **Sextupole** are suppressed.

## Gluon+quark jets correlation

Including all the  $qg \rightarrow qg$ ,  $gg \rightarrow gg$  and  $gg \rightarrow q\bar{q}$  channels, a lengthy calculation gives

$$\begin{aligned} \frac{d\sigma^{(pA \rightarrow \text{Dijet}+X)}}{d\mathcal{P} \cdot \mathcal{S}} &= \sum_q x_1 q(x_1, \mu^2) \frac{\alpha_s^2}{\hat{s}^2} \left[ \mathcal{F}_{qg}^{(1)} H_{qg}^{(1)} + \mathcal{F}_{qg}^{(2)} H_{qg}^{(2)} \right] \\ &+ x_1 g(x_1, \mu^2) \frac{\alpha_s^2}{\hat{s}^2} \left[ \mathcal{F}_{gg}^{(1)} \left( H_{gg \rightarrow q\bar{q}}^{(1)} + \frac{1}{2} H_{gg \rightarrow gg}^{(1)} \right) \right. \\ &\left. + \mathcal{F}_{gg}^{(2)} \left( H_{gg \rightarrow q\bar{q}}^{(2)} + \frac{1}{2} H_{gg \rightarrow gg}^{(2)} \right) + \mathcal{F}_{gg}^{(3)} \frac{1}{2} H_{gg \rightarrow gg}^{(3)} \right], \end{aligned}$$

with the various gluon distributions defined as

$$\begin{aligned} \mathcal{F}_{qg}^{(1)} &= xG^{(2)}(x, q_\perp), \quad \mathcal{F}_{qg}^{(2)} = \int xG^{(1)} \otimes F, \\ \mathcal{F}_{gg}^{(1)} &= \int xG^{(2)} \otimes F, \quad \mathcal{F}_{gg}^{(2)} = - \int \frac{q_{1\perp} \cdot q_{2\perp}}{q_{1\perp}^2} xG^{(2)} \otimes F, \\ \mathcal{F}_{gg}^{(3)} &= \int xG^{(1)}(q_1) \otimes F \otimes F, \end{aligned}$$

where  $F = \int \frac{d^2 r_\perp}{(2\pi)^2} e^{-iq_\perp \cdot r_\perp} \frac{1}{N_c} \langle \text{Tr} U(r_\perp) U^\dagger(0) \rangle_{x_g}$ .

Remarks:

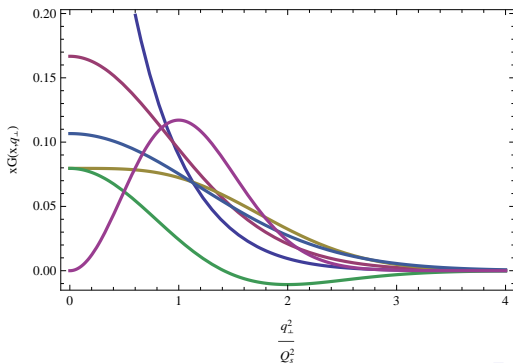
- Only the term in NavyBlue color was known before.
- This describes the dihadron correlation data measured at RHIC in forward  $dAu$  collisions.

# Illustration of gluon distributions

The various gluon distributions:

$$\begin{aligned}
 & xG_{\text{WW}}^{(1)}(x, q_{\perp}), \quad \mathcal{F}_{qg}^{(1)} = xG^{(2)}(x, q_{\perp}), \\
 \mathcal{F}_{gg}^{(1)} &= \int xG^{(2)} \otimes F, \quad \mathcal{F}_{gg}^{(2)} = - \int \frac{q_{1\perp} \cdot q_{2\perp}}{q_{1\perp}^2} xG^{(2)} \otimes F, \\
 \mathcal{F}_{gg}^{(3)} &= \int xG^{(1)}(q_1) \otimes F \otimes F, \quad \mathcal{F}_{qg}^{(2)} = \int xG^{(1)} \otimes F
 \end{aligned}$$

6 different gluon distributions



# Comparing to STAR and PHENIX data

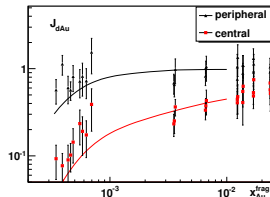
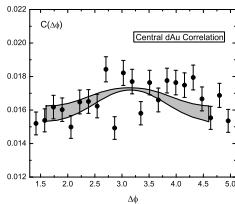
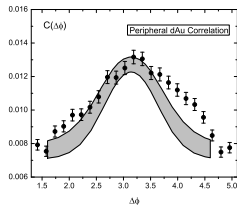


Physics predicted by C. Marquet. Further calculated in [A. Stasto, BX, F. Yuan, 11]

For away side peak in both **peripheral** and **central** dAu collisions

$$C(\Delta\phi) = \frac{\int_{|p_{1\perp}|, |p_{2\perp}|} \frac{d\sigma^{pA \rightarrow h_1 h_2}}{dy_1 dy_2 d^2p_{1\perp} d^2p_{2\perp}}}{\int_{|p_{1\perp}|} \frac{d\sigma^{pA \rightarrow h_1}}{dy_1 d^2p_{1\perp}}}$$

$$J_{dA} = \frac{1}{\langle N_{\text{coll}} \rangle} \frac{\sigma_{dA}^{\text{pair}} / \sigma_{dA}}{\sigma_{pp}^{\text{pair}} / \sigma_{pp}}$$



- Using:  $Q_{sA}^2 = c(b)A^{1/3}Q_s^2(x)$ .

- Physical picture:** Dense gluonic matter suppresses the away side peak.

## Conclusion and Outlook

### Conclusion:

- DIS dijet provides **direct information** of the WW gluon distributions. **Perfect** for testing CGC, and ideal measurement for EIC and LHeC.
- **Modified Universality** for Gluon Distributions:

	Inclusive	Single Inc	DIS dijet	$\gamma$ +jet	g+jet
$xG^{(1)}$	×	×	✓	×	✓
$xG^{(2)}, F$	✓	✓	×	✓	✓

×  $\Rightarrow$  Do Not Appear.      ✓  $\Rightarrow$  Appear.

- **Two fundamental gluon distributions.** Other gluon distributions are just different **combinations and convolutions** of these two.
- The small-x evolution of the WW gluon distribution, a different equation from Balitsky-Kovchegov equation; [Dominguez, Mueller, Munier, Xiao, 11]
- Dihadron correlation calculation agrees with the RHIC STAR and PHENIX data.

# Outlook

[Dominguez, Marquet, Stasto, BX, in preparation] Use Fierz identity:

$$\begin{array}{c} \text{---} \rightarrow \text{---} \\ | \\ \text{---} \leftarrow \text{---} \end{array} = \frac{1}{2} \begin{array}{c} \text{---} \rightarrow \text{---} \\ | \\ \text{---} \leftarrow \text{---} \end{array} - \frac{1}{2N_c} \begin{array}{c} \text{---} \rightarrow \text{---} \\ | \\ \text{---} \rightarrow \text{---} \end{array}$$

- The three-jet (same rapidity) production processes in the large  $N_c$  limit:

$q\bar{q}g$ -jet

$$\left| \begin{array}{c} \text{---} \rightarrow \text{---} \\ | \\ \text{---} \leftarrow \text{---} \end{array} \right|^2 = \begin{array}{c} \text{---} \rightarrow \text{---} \\ | \\ \text{---} \leftarrow \text{---} \end{array} = \frac{1}{2} \begin{array}{c} \text{---} \rightarrow \text{---} \\ | \\ \text{---} \leftarrow \text{---} \end{array} - \frac{1}{2N_c} \begin{array}{c} \text{---} \rightarrow \text{---} \\ | \\ \text{---} \rightarrow \text{---} \end{array}$$

- In the large  $N_c$  limit at small- $x$ , the **dipole** and **quadrupole** amplitudes are the only two fundamental objects in the cross section of multiple-jet production processes at any order in terms  $\alpha_s$ .
- Other higher point functions, such as **sextupoles**, **octupoles**, **decapoles** and **duodecapoles**, etc. are suppressed by factors of  $\frac{1}{N_c^2}$ .



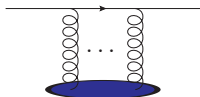
## Forward hadron production in $pA$ collisions

Consider the inclusive production of inclusive forward hadrons in  $pA$  collisions, i.e., in the process: [Dumitru, Jalilian-Marian, 02]

$$p + A \rightarrow H + X.$$

The leading order result for producing a hadron with transverse momentum  $p_\perp$  at rapidity  $y_h$

$$\frac{d\sigma_{\text{LO}}^{pA \rightarrow hX}}{d^2p_\perp dy_h} = \int_\tau^1 \frac{dz}{z^2} \left[ \sum_f x_p q_f(x_p) \mathcal{F}(k_\perp) D_{h/q}(z) + x_p g(x_p) \tilde{\mathcal{F}}(k_\perp) D_{h/g}(z) \right].$$



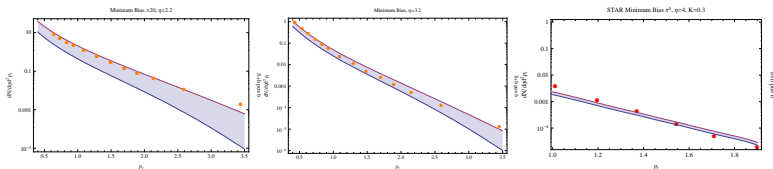
$$\Rightarrow U(x_\perp) = \mathcal{P} \exp \left\{ ig_s \int_{-\infty}^{+\infty} dx^+ T^c A_c^-(x^+, x_\perp) \right\},$$

$$\mathcal{F}(k_\perp) = \int \frac{d^2x_\perp d^2y_\perp}{(2\pi)^2} e^{-ik_\perp \cdot (x_\perp - y_\perp)} S_Y^{(2)}(x_\perp, y_\perp).$$

- $p_\perp = zk_\perp$ ,  $x_p = \frac{p_\perp}{z\sqrt{s}} e^{y_h}$  (**large**),  $\tau = zx_p$  and  $x_g = \frac{p_\perp}{z\sqrt{s}} e^{-y_h}$  (**small**).
- $S_Y^{(2)}(x_\perp, y_\perp) = \frac{1}{N_c} \langle \text{Tr} U(x_\perp) U^\dagger(y_\perp) \rangle_Y$  with  $Y \sim \ln 1/x_g$ .
- The gluon channel with  $\tilde{\mathcal{F}}(k_\perp)$  defined in the adjoint representation.
- Classical  $p_\perp$  broadening calculation, no divergences, no evolution.

## Issues with the leading order calculation

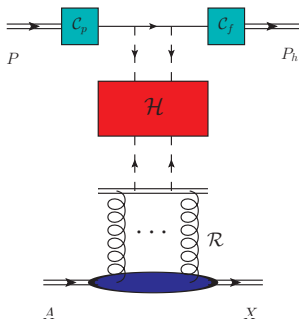
The comparison between the leading order calculation and the RHIC data:



Comments: **Why do we need NLO calculations?**

- LO calculation is **order of magnitude estimate**. Normally, we need to introduce the artificial  $K$  factor to fix the normalization. Fails to describe large  $p_{\perp}$  data.
- There are **large theoretical uncertainties** due to renormalization/factorization scale dependence in  $xf(x)$  and  $D(z)$ . Choice of the scale at LO requires information at NLO.
- In general, higher order in the perturbative series in  $\alpha_s$  helps to increase the **reliability** of QCD predictions.
- **NLO** results reduce the scale dependence and may distort the shape of the cross section.  $K = \frac{\sigma_{LO} + \sigma_{NLO}}{\sigma_{LO}}$  is not a good approximation.
- NLO is vital in terms of establishing **the QCD factorization in saturation physics**. **Fun!**

# The overall picture



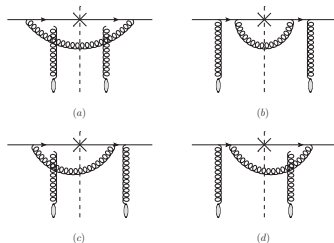
The QCD factorization formalism for this process reads as,

$$\frac{d^3 \sigma^{p+A \rightarrow h+X}}{dy d^2 p_{\perp}} = \sum_a \int \frac{dz}{z^2} \frac{dx}{x} \xi x f_a(x, \mu) D_{h/c}(z, \mu) \int [dx_{\perp}] S_{a,c}^Y([x_{\perp}]) \mathcal{H}_{a \rightarrow c}(\alpha_s, \xi, [x_{\perp}] \mu).$$

- For UGD, the rapidity divergence **cannot** be canceled between **real** and **virtual** gluon emission due to **different restrictions on  $k_{\perp}$** .
- Subtractions of the divergences via **renormalization**  $\Rightarrow$  Finite results for hard factors.

## The real contributions in the coordinate space

Computing the real diagrams with a quark ( $b_\perp$ ) and a gluon ( $x_\perp$ ) in the final state in the dipole model in the coordinate space: [G. Chirilli, BX and F. Yuan, 11;12]

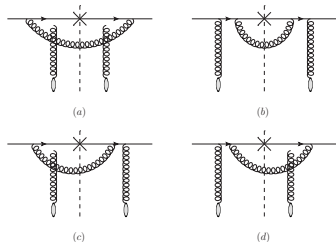


$$\begin{aligned}
 \frac{d\sigma^{qA \rightarrow qgX}}{d^3k_1 d^3k_2} &= \alpha_S C_F \delta(p^+ - k_1^+ - k_2^+) \int \frac{d^2x_\perp}{(2\pi)^2} \frac{d^2x'_\perp}{(2\pi)^2} \frac{d^2b_\perp}{(2\pi)^2} \frac{d^2b'_\perp}{(2\pi)^2} \\
 &\times e^{-ik_{1\perp} \cdot (x_\perp - x'_\perp)} e^{-ik_{2\perp} \cdot (b_\perp - b'_\perp)} \sum_{\lambda\alpha\beta} \psi_{\alpha\beta}^{\lambda*}(u'_\perp) \psi_{\alpha\beta}^\lambda(u_\perp) \\
 &\times \left[ S_Y^{(6)}(b_\perp, x_\perp, b'_\perp, x'_\perp) + S_Y^{(2)}(v_\perp, v'_\perp) \right. \\
 &\quad \left. - S_Y^{(3)}(b_\perp, x_\perp, v'_\perp) - S_Y^{(3)}(v_\perp, x'_\perp, b'_\perp) \right],
 \end{aligned}$$

with  $u_\perp = x_\perp - b_\perp$  and  $v_\perp = (1 - \xi)x_\perp + \xi b_\perp$ .

## The real contributions in the coordinate space

Computing the real diagrams with a quark ( $b_\perp$ ) and a gluon ( $x_\perp$ ) in the final state in the dipole model in the coordinate space: [G. Chirilli, BX and F. Yuan, 11;12]



$$S_Y^{(6)}(b_\perp, x_\perp, b'_\perp, x'_\perp) = \frac{1}{C_F N_c} \left\langle \text{Tr} \left( U(b_\perp) U^\dagger(b'_\perp) T^d T^c \right) \left[ W(x_\perp) W^\dagger(x'_\perp) \right]^{cd} \right\rangle_Y,$$

$$S_Y^{(3)}(b_\perp, x_\perp, v'_\perp) = \frac{1}{C_F N_c} \left\langle \text{Tr} \left( U(b_\perp) T^d U^\dagger(v'_\perp) T^c \right) W^{cd}(x_\perp) \right\rangle_Y.$$

- By integrating over the gluon momentum, we identify  $x_\perp$  to  $x'_\perp$  which simplifies  $S_Y^{(6)}(b_\perp, x_\perp, b'_\perp, x'_\perp)$  to  $S^{(2)}(b_\perp, b'_\perp)$ .

- $S_Y^{(3)}(b_\perp, x_\perp, v'_\perp) = \frac{N_c}{2C_F} \left[ S_Y^{(4)}(b_\perp, x_\perp, v'_\perp) - \frac{1}{N_c^2} S_Y^{(2)}(b_\perp, v'_\perp) \right]$

## The real contributions in the momentum space

By integrating over the gluon  $(k_1^+, k_{1\perp})$ , we can cast **the real contribution** into

$$\frac{\alpha_s}{2\pi^2} \int \frac{dz}{z^2} D_{h/q}(z) \int_{\tau/z}^1 d\xi \frac{1+\xi^2}{1-\xi} xq(x) \left\{ C_F \int d^2k_{g\perp} \mathcal{I}(k_\perp, k_{g\perp}) \right. \\ \left. + \frac{N_c}{2} \int d^2k_{g\perp} d^2k_{g1\perp} \mathcal{J}(k_\perp, k_{g\perp}, k_{g1\perp}) \right\},$$

where  $x = \tau/z\xi$  and  $\mathcal{I}$  and  $\mathcal{J}$  are defined as

$$\mathcal{I}(k_\perp, k_{g\perp}) = \mathcal{F}(k_{g\perp}) \left[ \frac{k_\perp - k_{g\perp}}{(k_\perp - k_{g\perp})^2} - \frac{k_\perp - \xi k_{g\perp}}{(k_\perp - \xi k_{g\perp})^2} \right]^2,$$

$$\mathcal{J}(k_\perp, k_{g\perp}, k_{g1\perp}) = \left[ \mathcal{F}(k_{g\perp}) \delta^{(2)}(k_{g1\perp} - k_{g\perp}) - \mathcal{G}(k_{g\perp}, k_{g1\perp}) \right] \frac{2(k_\perp - \xi k_{g\perp}) \cdot (k_\perp - k_{g1\perp})}{(k_\perp - \xi k_{g\perp})^2 (k_\perp - k_{g1\perp})^2}$$

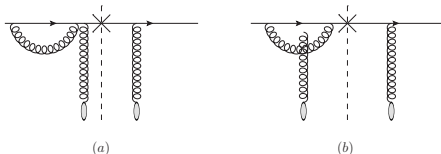
$$\text{with } \mathcal{G}(k_\perp, l_\perp) = \int \frac{d^2x_\perp d^2y_\perp d^2b_\perp}{(2\pi)^4} e^{-ik_\perp \cdot (x_\perp - b_\perp) - il_\perp \cdot (b_\perp - y_\perp)} S_Y^{(4)}(x_\perp, b_\perp, y_\perp).$$

Three types of divergences:

- $\xi \rightarrow 1 \Rightarrow$  **Rapidity divergence**.
- $k_{g\perp} \rightarrow k_\perp \Rightarrow$  **Collinear divergence** associated with parton distributions.
- $k_{g\perp} \rightarrow k_\perp/\xi \Rightarrow$  **Collinear divergence** associated with fragmentation functions.

## The virtual contributions in the momentum space

Now consider the virtual contribution



$$\begin{aligned}
 & -2\alpha_s C_F \int \frac{d^2 v_\perp}{(2\pi)^2} \frac{d^2 v'_\perp}{(2\pi)^2} \frac{d^2 u_\perp}{(2\pi)^2} e^{-ik_\perp \cdot (v_\perp - v'_\perp)} \sum_{\lambda\alpha\beta} \psi_{\alpha\beta}^{\lambda*}(u_\perp) \psi_{\alpha\beta}^\lambda(u_\perp) \\
 & \times \left[ S_Y^{(2)}(v_\perp, v'_\perp) - S_Y^{(3)}(b_\perp, x_\perp, v'_\perp) \right] \\
 \Rightarrow & -\frac{\alpha_s}{2\pi^2} \int \frac{dz}{z^2} D_{h/q}(z) x_p q(x_p) \int_0^1 d\xi \frac{1+\xi^2}{1-\xi} \\
 & \times \left\{ C_F \int d^2 q_\perp \mathcal{I}(q_\perp, k_\perp) + \frac{N_c}{2} \int d^2 q_\perp d^2 k_{g1\perp} \mathcal{J}(q_\perp, k_\perp, k_{g1\perp}) \right\}.
 \end{aligned}$$

Three types of divergences:

- $\xi \rightarrow 1 \Rightarrow$  **Rapidity divergence**.
- **Collinear divergence** associated with parton distributions and fragmentation functions.

## The subtraction of the rapidity divergence

We remove the **rapidity divergence** from the real and virtual diagrams by the following subtraction:

$$\mathcal{F}(k_{\perp}) = \mathcal{F}^{(0)}(k_{\perp}) - \frac{\alpha_s N_c}{2\pi^2} \int_0^1 \frac{d\xi}{1-\xi} \int \frac{d^2x_{\perp} d^2y_{\perp} d^2b_{\perp}}{(2\pi)^2} e^{-ik_{\perp} \cdot (x_{\perp} - y_{\perp})} \times \frac{(x_{\perp} - y_{\perp})^2}{(x_{\perp} - b_{\perp})^2 (y_{\perp} - b_{\perp})^2} \left[ S^{(2)}(x_{\perp}, y_{\perp}) - S^{(4)}(x_{\perp}, b_{\perp}, y_{\perp}) \right].$$

Comments:

- This divergence removing procedure is similar to the renormalization of parton distribution and fragmentation function in collinear factorization.
- Splitting functions becomes  $\frac{1+\xi^2}{(1-\xi)_+}$  after the subtraction.
- Rapidity divergence disappears when the  $k_{\perp}$  is integrated.  
Unique feature of unintegrated gluon distributions.



## The subtraction of the rapidity divergence

$$\mathcal{F}(k_{\perp}) = \mathcal{F}^{(0)}(k_{\perp}) - \frac{\alpha_s N_c}{2\pi^2} \int_0^1 \frac{d\xi}{1-\xi} \int \frac{d^2x_{\perp} d^2y_{\perp} d^2b_{\perp}}{(2\pi)^2} e^{-ik_{\perp} \cdot (x_{\perp} - y_{\perp})} \\ \times \frac{(x_{\perp} - y_{\perp})^2}{(x_{\perp} - b_{\perp})^2 (y_{\perp} - b_{\perp})^2} \left[ S^{(2)}(x_{\perp}, y_{\perp}) - S^{(4)}(x_{\perp}, b_{\perp}, y_{\perp}) \right].$$

This is equivalent to **the Balitsky-Kovchegov equation**:

$$\frac{\partial}{\partial Y} S_Y^{(2)}(x_{\perp}, y_{\perp}) = -\frac{\alpha_s N_c}{2\pi^2} \int \frac{d^2b_{\perp} (x_{\perp} - y_{\perp})^2}{(x_{\perp} - b_{\perp})^2 (y_{\perp} - b_{\perp})^2} \left[ S_Y^{(2)}(x_{\perp}, y_{\perp}) - S_Y^{(4)}(x_{\perp}, b_{\perp}, y_{\perp}) \right].$$

- Recall that  $\mathcal{F}(k_{\perp}) = \int \frac{d^2x_{\perp} d^2y_{\perp}}{(2\pi)^2} e^{-ik_{\perp} \cdot (x_{\perp} - y_{\perp})} S^{(2)}(x_{\perp}, y_{\perp})$ .
- Renormalize the soft gluon into the gluon distribution function of the **target nucleus** through **the BK evolution equation**.

## The subtraction of the collinear divergence

Let us take the following integral as an example:

$$\begin{aligned}
 I_1(k_\perp) &= \int \frac{d^2 k_{g\perp}}{(2\pi)^2} \mathcal{F}(k_{g\perp}) \frac{1}{(k_\perp - k_{g\perp})^2}, \\
 &= \frac{1}{4\pi} \int \frac{d^2 x_\perp d^2 y_\perp}{(2\pi)^2} e^{-ik_\perp \cdot r_\perp} \mathcal{S}_Y^{(2)}(x_\perp, y_\perp) \left( -\frac{1}{\hat{\epsilon}} + \ln \frac{c_0^2}{\mu^2 r_\perp^2} \right),
 \end{aligned}$$

where  $c_0 = 2e^{-\gamma_E}$ ,  $\gamma_E$  is the Euler constant and  $r_\perp = x_\perp - y_\perp$ .

- Use dimensional regularization ( $D = 4 - 2\epsilon$ ) and the  $\overline{\text{MS}}$  subtraction scheme ( $\frac{1}{\hat{\epsilon}} = \frac{1}{\epsilon} - \gamma_E + \ln 4\pi$ ).
- $\int \frac{d^2 k_{g\perp}}{(2\pi)^2} \Rightarrow \mu^{2\epsilon} \int \frac{d^{2-2\epsilon} k_{g\perp}}{(2\pi)^{2-2\epsilon}}$  where  $\mu$  is the renormalization scale dependence coming from the strong coupling  $g$ .
- The terms proportional to the collinear divergence  $\frac{1}{\hat{\epsilon}}$  should be factorized either into parton distribution functions or fragmentation functions.

## The subtraction of the collinear divergence

Remove the collinear singularities by redefining the quark distribution and the quark fragmentation function as follows

$$q(x, \mu) = q^{(0)}(x) - \frac{1}{\hat{\epsilon}} \frac{\alpha_s(\mu)}{2\pi} \int_x^1 \frac{d\xi}{\xi} C_F \mathcal{P}_{qq}(\xi) q\left(\frac{x}{\xi}\right),$$

$$D_{h/q}(z, \mu) = D_{h/q}^{(0)}(z) - \frac{1}{\hat{\epsilon}} \frac{\alpha_s(\mu)}{2\pi} \int_z^1 \frac{d\xi}{\xi} C_F \mathcal{P}_{qq}(\xi) D_{h/q}\left(\frac{z}{\xi}\right),$$

with

$$\mathcal{P}_{qq}(\xi) = \underbrace{\frac{1 + \xi^2}{(1 - \xi)_+}}_{\text{Real Sub}} + \underbrace{\frac{3}{2} \delta(1 - \xi)}_{\text{Virtual Sub}}.$$

Comments:

- Reproducing the **DGLAP** equation for the quark channel. Other channels will complete the full equation.
- The emitted gluon is collinear to the **initial state quark**  $\Rightarrow$  **Renormalization of the parton distribution.**
- The emitted gluon is collinear to the **final state quark**  $\Rightarrow$  **Renormalization of the fragmentation function.**

## Hard Factors

For the  $q \rightarrow q$  channel, the factorization formula can be written as

$$\frac{d^3\sigma^{p+A \rightarrow h+X}}{dyd^2p_{\perp}} = \int \frac{dz}{z^2} \frac{dx}{x} \xi x q(x, \mu) D_{h/q}(z, \mu) \int \frac{d^2x_{\perp} d^2y_{\perp}}{(2\pi)^2} \left\{ S_Y^{(2)}(x_{\perp}, y_{\perp}) \left[ \mathcal{H}_{2qq}^{(0)} + \frac{\alpha_s}{2\pi} \mathcal{H}_{2qq}^{(1)} \right] \right. \\ \left. + \int \frac{d^2b_{\perp}}{(2\pi)^2} S_Y^{(4)}(x_{\perp}, b_{\perp}, y_{\perp}) \frac{\alpha_s}{2\pi} \mathcal{H}_{4qq}^{(1)} \right\}$$

with  $\mathcal{H}_{2qq}^{(0)} = e^{-ik_{\perp} \cdot r_{\perp}} \delta(1 - \xi)$  and

$$\mathcal{H}_{2qq}^{(1)} = C_F \mathcal{P}_{qq}(\xi) \ln \frac{c_0^2}{r_{\perp}^2 \mu^2} \left( e^{-ik_{\perp} \cdot r_{\perp}} + \frac{1}{\xi^2} e^{-i\frac{k_{\perp}}{\xi} \cdot r_{\perp}} \right) - 3C_F \delta(1 - \xi) e^{-ik_{\perp} \cdot r_{\perp}} \ln \frac{c_0^2}{r_{\perp}^2 k_{\perp}^2} \\ - (2C_F - N_c) e^{-ik_{\perp} \cdot r_{\perp}} \left[ \frac{1 + \xi^2}{(1 - \xi)_+} \tilde{I}_{21} - \left( \frac{(1 + \xi^2) \ln(1 - \xi)^2}{1 - \xi} \right)_+ \right]$$

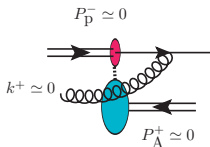
$$\mathcal{H}_{4qq}^{(1)} = -4\pi N_c e^{-ik_{\perp} \cdot r_{\perp}} \left\{ e^{-i\frac{1-\xi}{\xi} k_{\perp} \cdot (x_{\perp} - b_{\perp})} \frac{1 + \xi^2}{(1 - \xi)_+} \frac{1}{\xi} \frac{x_{\perp} - b_{\perp}}{(x_{\perp} - b_{\perp})^2} \cdot \frac{y_{\perp} - b_{\perp}}{(y_{\perp} - b_{\perp})^2} \right. \\ \left. - \delta(1 - \xi) \int_0^1 d\xi' \frac{1 + \xi'^2}{(1 - \xi')_+} \left[ \frac{e^{-i(1-\xi') k_{\perp} \cdot (y_{\perp} - b_{\perp})}}{(b_{\perp} - y_{\perp})^2} - \delta^{(2)}(b_{\perp} - y_{\perp}) \int d^2r'_{\perp} \frac{e^{ik_{\perp} \cdot r'_{\perp}}}{r'_{\perp}{}^2} \right] \right\}$$

where

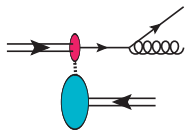
$$\tilde{I}_{21} = \int \frac{d^2b_{\perp}}{\pi} \left\{ e^{-i(1-\xi) k_{\perp} \cdot b_{\perp}} \left[ \frac{b_{\perp} \cdot (\xi b_{\perp} - r_{\perp})}{b_{\perp}^2 (\xi b_{\perp} - r_{\perp})^2} - \frac{1}{b_{\perp}^2} \right] + e^{-ik_{\perp} \cdot b_{\perp}} \frac{1}{b_{\perp}^2} \right\}.$$

## What have we learnt so far?

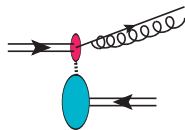
- Achieve a systematic factorization for the  $p + A \rightarrow H + X$  process by systematically remove all the divergences!
- Gluons in different kinematical region give different divergences. 1. soft, collinear to the target nucleus; 2. collinear to the initial quark; 3. collinear to the final quark.



Rapidity Divergence



Collinear Divergence (P)



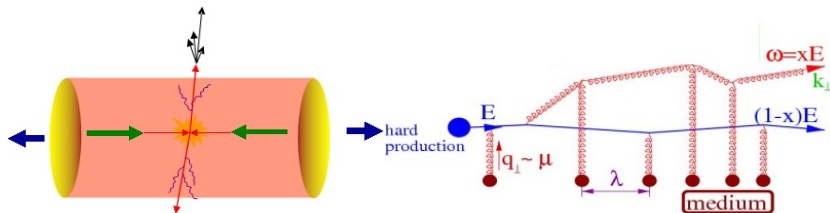
Collinear Divergence (F)

- Large  $N_c$  limit simplifies the calculation quite a lot.
- **Consistent check:** take the dilute limit,  $k_{\perp}^2 \gg Q_s^2$ , the result is consistent with the leading order collinear factorization formula. Good large  $p_{\perp}$  behavior!
- The NLO prediction and test of saturation physics now is not only **conceivable** but also **practicable**!
- The other three channels follows accordingly.

## Conclusion

- We calculate inclusive hadron productions in  $pA$  collisions in the small- $x$  saturation formalism at **one-loop order**.
- The **rapidity divergence** with small- $x$  dipole gluon distribution of the nucleus is factorized into the BK evolution of the dipole gluon distribution function.
- The **collinear divergences** associated with the incoming parton distribution of the nucleon and the outgoing fragmentation function of the final state hadron are factorized into the well-known DGLAP equation.
- The **hard coefficient function**, which is finite and free of divergence of any kind, is evaluated at one-loop order.
- Now we have a systematic NLO description of inclusive forward hadron productions in  $pA$  collisions which is ready for **making reliable predictions and conducting precision test**. Phenomenological applications are promising for both **RHIC and LHC** (upcoming  $pA$  run) experiments.

# AA Collisions and Energy Loss



- Productions in Collisions. Factorization?
- Energy loss. Higher order?
- $p_{\perp}$  broadening. Higher order?